



HARNESS RACING AUSTRALIA INC

Australian Trotting Stud Book Regulations

1 Interpretation

In the interpretation of these Regulations save as the context otherwise requires:

- (a) Words and phrases defined in the Australian Harness Racing Rules shall have the same meaning in these Regulations;
- (b) The following words shall have the meanings set out:-

“Agistment Costs” in relation to total service cost means amounts payable by the acquirer of a service or procedure to the supplier of same for the care and feed of a horse undergoing the service or procedure, provided that such costs do not, in the opinion of HRA, exceed the fair market value of such care and feed.

“Artificial breeding” means artificial breeding as defined by Australian Harness Racing Rule 281.

“Associate” includes:

- (a) in relation to a body corporate:
 - (i) a director or secretary of that body; and
 - (iii) a related body corporate pursuant to s.50 of the *Corporations Act 2001* (Cth); and
 - (iii) a director or secretary of a related body corporate.
- (b) in relation to any person, including a body corporate, a person acting in concert with that person.

“Australian bred” means a foal that is registered in Australia and is the progeny of a mare registered in the Australian Trotting Stud Book domiciled in Australia at the time of service by a stallion registered in the Australian Trotting Stud Book at the time of service.

“Australian Harness Racing Rules” or **“AHRR”** means the rules of that name made by the Controlling Bodies.

“Breeder”

- (a) In the case of a foal which is the result of an embryo transfer, means the registered owner or lessee of the donor mare at the time the embryo is flushed from such donor mare and impregnated into a recipient mare.
- (b) In all other cases, means the registered owner or lessee of the dam at the time of last date of service.

“Breeding Season” means the period commencing 1 September in a calendar year and ending 31 August in the following calendar year.

“Controlling Body” means

- (a) Save and except for paragraph (b) an organisation which by convention, recognition or law is or is deemed to be in control of harness racing in a State or Territory of Australia or in part of or the whole of a country; and
- (b) in respect of the State of Queensland, the Racing Queensland Board unless a rule provides for the Controlling Body to perform a function that is a function of the Queensland Racing Integrity Commission under the Racing Integrity Act 2016 (Qld) in which case the Queensland Racing Integrity Commission must perform the function.

“Foal Died” means a foal that is still born, dies during birth or does not stand and suckle after birth.

“Foreign horse” means a horse foaled outside Australia which is not Australian bred.

“Harness Racing Australia” or **“Council”** means Harness Racing Australia as defined by the Australian Harness Racing Rules.

“Keeper of the Stud Book” means the person appointed by Harness Racing Australia to keep records of sires, mares and foalings and to publish annual editions of the Stud Book in accordance with the Harness Racing Australia Rules of Association and these Regulations.

“Live Foal” means a foal that stands and suckles after birth.

“Microchip” means an electronic identifier transponder of a type approved by the Controlling Body encoded with a unique unalterable number.

“Microchipping Regulations” mean regulations of that name made by HRA.

“Offence Provision” has the meaning set out in the AHRR.

“Registered’ or “Registration” in relation to a horse or other person or thing means registered or registration under these rules or the Rules in force as at the time of registration

“Registrar” means the person appointed by Harness Racing Australia to supervise the allocation of names in accordance with these Regulations.

“Registration Certificate” means a registration certificate issued either by a Controlling Body or Harness Racing Australia.

“Rules” or **“these Rules”** means these Stud Book Regulations and, as the context requires, the Australian Harness Racing Rules.

“Shuttle” means a service supplied to a registered dam in Australia where the sire is in Australia or New Zealand at the time of insemination however occurring.

“Standardbred” when used with reference to a horse means a horse which is the progeny of a registered sire and registered dam.

“Stud” means a place where the breeding of horses is attempted or carried on.

“Technician Fee” in relation to total service cost means amounts payable by the acquirer of a service or procedure to the supplier of same for the services of a Veterinarian, Artificial Breeding Technician or a similar suitably qualified person who carries out or administers such service or procedure, provided that such costs do not, in the opinion of HRA, exceed the fair market value of such fee.

“Total Service Cost” has the meaning set out in Regulation 1(e).

“Transport Costs” in relation to total service cost means amounts payable by the acquirer of a service or procedure to the supplier of same for the transport of semen or stock, including multiple shipments of semen where impregnation fails, provided that such costs do not, in the opinion of HRA, exceed the fair market value of such transport.

“Trotting Sire” in relation to fees has the meaning set out in Regulation 18(3).

“TSC” in relation to fees has the meaning set out in Regulation 18(3).

- (c) The age of a horse shall be reckoned as provided by Australian Harness Racing Rule 92.
- (d) A reference to a horse being domiciled in a country for a breeding season means a horse that was in that country for no less than 240 days of the relevant breeding season.
- (e) A reference to the ‘total service cost’ of a service or procedure includes all fees, expenses or charges of any kind, payable to the supplier of the service or procedure, or any associate of such supplier, in relation to such service or procedure, but excluding:
 - (i) GST;
 - (ii) Transport Costs;
 - (iii) Agistment Costs; and
 - (iv) Technician Fee.

- (f) References to 'taxable supply', 'Goods and Services Tax' or 'GST' shall have the meaning set out in the *A New Tax System (Goods and Services Tax) Act 1999* (Cth) as amended.
- (g) Words or expressions in the singular form include the plural form and vice versa,
- (h) In relation to people, words or expressions referring to one gender shall include all other genders. In relation to horses references to one gender shall not include other genders.
- (i) Headings are included for ease of reference and are not intended to be used for interpretation.
- (j) Capitalization of words or phrases is not intended to be significant.

2 Australian Trotting Stud Book

- (1) The Keeper of the Stud Book shall publish annually the Australian Trotting Stud Book.
- (2) The Keeper of the Stud Book shall determine the style, form, and layout of the Australian Trotting Stud Book.
- (3) The Australian Trotting Stud Book shall contain the following Tables:

Table 1 – Sires

Part A – General List

This part shall comprise Standardbred sires that have sired progeny or winners subsequent to the publication of the previous Stud Book.

The entry therein shall include a distinctive number, best racing or time trial performance, colour, year of foaling and pedigree to second generation and the breeder's name and the State of domicile.

The entry shall also include a list of the names of mares that have produced to the sire concerned together with an annual updated list of winners and their best performances.

Qualifying Trial times shall not be recognized.

Part B – Tabulated Pedigrees of Stallions

This section shall include all those stallions at Stud from Australia, New Zealand, North America and Europe.

Table 2 – Dams

Part A – General List

All Standardbred mares producing a foal to a Standardbred sire or having a previously notified foal named or having produced with new or reduced time performance or increased stakemoney subsequent to the publication of the previous Stud Book shall be automatically entered in the next following Stud Book.

The mare's entry will parallel that of a sire's entry and the breeder's name of such of her foals will also be recorded.

Qualifying Trial times shall not be recognised.

Part B – Tabulated Pedigrees of Dams

This section shall include all those dams bred published in Part A of this Table.

Table 3 – Index to Registrations

All horses whose names have been issued and/or approved by the Registrar since the publication of the previous Stud Book shall be entered in the next published Stud Book in the Table called Index to Registrations. The entry shall include the allotted name, colour/sex, year of foaling and the breeding thereof. All such approved names shall also be entered against the dam's record of foalings.

- (4) HRA may at its discretion add additional Tables or such information as it considers necessary.
- (5) Winning performances in a race and against time endorsed by HRA shall be the only time performances recorded in the Stud Book.
- (6) Times for races less than a mile shall not be recorded.
- (7) In the event of non-compliance with these Rules or the Australian Harness Racing Rules HRA may direct any sire, dam or their progeny be ineligible for either naming or entry in the Stud Book, or set down conditions or require undertakings before a specific horse is named or before a sire, dam or their progeny are entered in the Stud Book.

Registration and Naming

3 Eligibility for registration

- (1) A horse shall not be eligible for registration unless:
 - (a) It is the progeny of a Standardbred sire and a Standardbred dam and its registration conforms with these rules.

- (b) It is microchipped in accordance with the Microchipping Regulations, freezebranded or otherwise identified by a method approved by HRA.
- (c) It has been parentage verified by D.N.A. genotyping at a laboratory approved by HRA.
- (d) It is progeny that conforms to the following genetic principles:
 - (i) Chestnut colouration is recessive to all other colours and therefore any progeny that is not chestnut from a chestnut to chestnut mating is not eligible for registration;
 - (ii) Grey colouration is usually dominant in relation to all the basic colours and therefore any progeny that is grey is not eligible for registration unless at least one of its parents is also grey.

Notwithstanding sub-clauses (i) and (ii) such progeny will be eligible for registration in the event that blood typing and / or D.N.A. genotyping establishes to the satisfaction of HRA that the horse in dispute is the progeny of the said sire and dam.

- (2) Save and except for embryo transfer or some other procedure approved by the Controlling Body a horse shall not be eligible for naming and/or registration and entry in the Stud Book if it is the produce of genetic engineering procedures including but not limited to cloning, embryo freezing or oocyte collection.
- (3) The progeny of a mare inseminated by transported semen shall not be eligible for naming and/or registration and entry in the Stud Book unless all steps taken to bring that progeny into being have been in accordance with these rules.
- (4) A foal shall not be eligible for naming and/or registration and entry in the Stud Book until all fees payable upon its birth in relation to its sire or dam have been paid.
- (5) A foal that is the product of sperm sorting shall not be eligible for registration.
- (6) Stock produced by artificial breeding in breach of any provision of the AHRR or these rules may be refused registration by HRA.
- (7) A person seeking registration shall pay to HRA or the Controlling Body such fees relating to identification as HRA or the Controlling Body may determine.
- (8) The connections shall keep clipped that area of a horse's body adjacent to or surrounding its brand.
- (9) In the event of the identification of a horse becoming indistinct, illegible or otherwise unsatisfactory to HRA or the Controlling Body, the connections shall comply with any directions given by HRA or the Controlling Body to rectify the matter.
- (10) Sub-rules (7) and (8) are Offence Provisions.

4 Eligibility for Naming

- (1) A horse shall not be eligible for naming unless:
 - (a) the horse has been registered in accordance with these rules.
 - (b) the name is submitted through HRA.
- (2) The owner of a horse who submits a name for approval by the Registrar shall indemnify the Registrar, HRA and the CB against any claims, actions, demands or liabilities arising from the approval, use or publication of such name.
- (3) HRA may delete a name or names before the list of names is submitted to the Registrar.
- (4) (a) The Registrar shall allocate the first available name on the list of names submitted by a Controlling Body after having first eliminated the following;
 - (i) Any existing names of trotting or pacing horses appearing on the Australian name register or the name of any past renowned racetrack performer as identified in the [Protected Name Lists – Renowned Winners](#) and [Oaks / Derby Winners](#) or as a winner of a race specified in the [Harness Racing Australia Racing Chronicles](#).
 - (ii) Any name having political, religious, sacred or commercial significance or that is otherwise inappropriate.
 - (iii) Any name of indecent, undignified or irreverent character in English or any other language.
 - (iv) Any name having single initials or numerals, either as a whole name or used as a part of a name with the exception of the letter "A" or "I" (overseas horses excepted).
 - (v) Any name likely to cause confusion by reason that it sounds or reads the same as an existing name.
 - (vi) Any name of a well known living or dead person the naming of which would be objectionable to the persons concerned, to relatives or to others in general. Names of well-known persons, living or dead are acceptable if written permission has been obtained from either the person (if they are living) or from the family (if they are deceased). The naming applicant is responsible for obtaining written permission.
 - (vii) Any name of an Australian Institution or Organisation, or any name which does or may infringe trademark or copyright. (Including songs, movies, books & TV shows).
 - (viii) Any name the reverse of which is the name of a horse currently racing.

- (ix) Any name likely to cause confusion in breeding records by reason that it is the duplicate of a name prominent in breeding or racing outside Australia.
 - (x) The name of any Sire or Dam in the Australian Trotting Stud Book.
 - (xi) Any name more than eighteen letters in length (including spaces).
 - (xii) Any name in any other language than English where the meaning cannot be proven.
 - (xiii) Any name that is difficult to pronounce in English or any other language.
- (b) The following are not permitted to be used in a name:-
- (i) Apostrophes, full stops, dashes, characters, numbers and symbols – eg, hyphens (-), acutes (é), circumflexes (ê), cedilla (ç), graves (è), umlauts (ä), etc.
 - (ii) Abbreviations such as Mr, Mrs, Ms, Dr . The words must be spelt out in full.
- (c) The Registrar may correct any misspelt or incorrect renderings of a word or phrase.
- (d) Names submitted using part or all of the Sire and / or Dam name should be spelt the same as the Sire and / or Dam name.
- (e) If all names on a list are eliminated the Registrar shall call for a new list to be submitted.
- (5) (a) Subject to paragraphs (b), (c) and (d), a name once allocated by the Registrar shall not be changed.
- (b) The owner of a horse less than two years old that has been allocated a name by the Registrar shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial, race or bred and the change is made before the end of its yearling season. Any cancelled name will be made available for re-use after twelve months.
- (c) Subject to paragraph (d) hereof the owner of a horse two years old or older that has been allocated a name by the Registrar shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial or race or has not bred. Any cancelled name will be made available for re-use after twelve months.
- (d) The owner of a horse two years old or older that has been allocated a name by the Registrar and has competed in an official trial in any country can apply to change the horse's name by submitting an application in writing to the

Registrar outlining reasons for wanting the change. The application will be considered by the Keeper of the Stud Book and the Registrar, and if approved, will require payment of the prescribed fee(s). Any cancelled name will be made available for re-use after twelve months.

5 Naming and Registration

- (1) A person desiring to register a horse may make application to HRA.
- (2) A person desiring to name a horse may make application to HRA.
- (3) An application under this rule is to be made in the manner and form and be accompanied by such documentation, information and fees as HRA may determine.
- (4) A person making application to name a horse shall ensure that all proposed names comply with these rules.
- (5) Application to name or register a horse shall be made on Form R95-A.
- (6) HRA may grant or refuse an application under this rule.
- (7) HRA may cancel the registration of a horse.
- (8) Sub-rule (4) is an Offence Provision.

6 Foreign Horses

- (1) A foreign horse shall not be eligible for registration in Australia unless:
 - (a) Such horse is registered with the Controlling Body of the country it was cleared from or such other country as HRA may approve;
 - (b) Such horse is capable of satisfying the registration requirements applicable to a horse foaled in Australia;
 - (c) Such horse has been parentage verified by D.N.A. genotyping or blood typing;
 - (d) Such horse is microchipped in accordance with the Microchipping Regulations, freezebranded or otherwise identified by a method approved by HRA;
 - (e) HRA receives a clearance certificate from the country in which such foreign horse was last registered;
 - (f) The appropriate clearance registration fee is paid.
- (2) Upon the receipt of a Clearance Certificate a foreign bred horse shall be allocated its existing name and in addition shall have a suffix added to its name to denote the country of origin.

- (3) The suffix to be added to the name shall be as follows:

New Zealand	NZ	Ireland	IRL
United States	USA	Italy	ITA
Canada	CA	Malta	MLT
Europe:		Netherlands	NLD
Austria	AUT	Norway	NOR
Belgium	BEL	Russia	RUS
Denmark	DNK	Sweden	SWE
Finland	FIN	Switzerland	SUI
France	FRA	United Kingdom	GBR
Germany	GER		

- (4) The name of a foreign horse will be checked by the Registrar for verification and recording and the Registrar shall advise HRA that the name is available or otherwise.
- (5) If the name is not available then a prefix will be applied, and under certain circumstances a new name may be requested by the Registrar. In such case where a name is changed the suffix applicable shall be added to the new name.
- (6) If the name of a foreign horse is of a well known living or deceased Australian person, permission must be provided to HRA from either the person (if they are living) or from the family (if they are deceased) to allow the name in Australia. Otherwise a name change may be requested by the Registrar.
- (7) The owner of a yearling bred outside Australia shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial or race either in Australia or Overseas, has not bred and the change is made before the end of its yearling season in Australia.
- (8) The owner of a horse 2 years old or older bred outside Australia shall upon payment of the prescribed fee(s) be permitted to change the name provided that horse has not competed in an official trial or race either in Australia or overseas, or has not bred.
- (9) The owner of a horse 2YO or older bred outside Australia that has been allocated a name and has competed in an official trial in any country can apply to change the name by submitting an application in writing to the Registrar outlining reasons for wanting to make the change. The application will be considered by the Keeper of the Stud Book and the Registrar, and if approved, will require payment of the prescribed fee(s). Any cancelled name will be made available for re-use after 12 months.
- (10) Apostrophes, dashes and dots which are part of a foreign horse name will be eliminated.

7 Registrar's Powers

- (1) If any matter that is not specifically covered by these rules arises the Registrar may allow a name or a new name.
- (2) The Registrar may order a change of name wherever such change is deemed necessary to comply with the context of these rules.

8 Registration certificate

- (1) When a horse is named and registered HRA shall issue a registration certificate.
- (2) The registration certificate shall be issued to the owner of the horse or to some other person considered appropriate by HRA, but remains the property of HRA. Registration certificates issued under the AHRR remain the property of the issuing Controlling Body.
- (3) The registration certificate of a horse shall at all times be in possession of the trainer or other person in charge of the horse from time to time.
- (4) HRA may substitute some other document or record for a registration certificate and the rules relating to such certificates shall then be read accordingly.
- (5) The person who is required under this rule to have possession of the registration certificate shall produce same to HRA, the Controlling Body or Stewards on demand.
- (6) A person not authorised in that behalf by HRA shall not erase or alter any information or particulars on a registration certificate.
- (7) Sub rules (3), (5) and (6) are Offence Provisions.

9 Registration of Studs and Sires

- (1) A person desiring to use a place as a stud may make application for registration of the place to HRA.
- (2) A person desiring to register a stallion as a sire may make application for registration of the horse to HRA.
- (3) An application under this rule is to be made within the time, in the manner and form, and be accompanied by such documentation, information and fees as HRA may determine.
- (4) HRA may grant registration for such period and upon such terms and conditions as it thinks fit or it may refuse registration.
- (5) Registration may be cancelled by HRA.

- (6) A person shall not use or permit or connive at the use of a place as a stud which is not registered for such use under these rules, or in breach of a term or condition of registration.
- (7) A person shall not use or permit or connive at the use of a stallion as a sire when the stallion is not registered as a sire under these rules, or in breach of a term or condition of registration.
- (8) Sub-rules (6) and (7) are Offence Provisions.

10 Applications to register a Stud or Sire

- (1) Application to register a place as a stud shall be made on Form 274-A. Application to register a stallion as a sire shall be made on [Form 274B-1](#) or [Form 274B-2](#).
- (2) A place will not be registered as a stud if the owner or studmaster is younger than 18 years.
- (3) Unless the material is already on file with HRA an applicant for registration of a place as a stud shall furnish with the application -
 - (a) certified extracts of the birth of the stud owner and studmaster;
 - (b) the police records of the stud owner and studmaster.
- (4) HRA at any time may request a stud owner or studmaster to furnish further copies of the material relating to the owner or studmaster, as the case may be, mentioned in sub rule (3).
- (5) (a) A certificate from a veterinary surgeon approved by the Controlling Body -
 - (i) supporting the registration of a place as a stud; and/or
 - (ii) supporting the registration of a stallion as a sire -must be furnished with the initial application made under sub rule 1 and with each subsequent application.
 - (b) Sub rule (a) (i) does not apply to the registration of a place as a stud if the applicant holds a current licence, authority or approval from a state government department or other state body licensing or authorising the use of the place as a stud.
- (6) Where application is made to register a place as a stud and it is inconvenient to obtain signed authorisation on Form R274-A from a stallion owner to stand the stallion at the stud, HRA may accept written authorisation in some other manner from the stallion owner.

- (7) It is a condition of registration that a stud shall always be maintained in a fit and proper condition.
- (8) Any change to any of the particulars entered on an application made under sub rule (1) shall immediately be notified to the Controlling Body.
- (9) Notification or certification of registration as a stud shall be prominently displayed on the registered premises.
- (10) An application for registration of a stallion as a sire shall include declarations of:
 - (a) the intended total service cost of breeding services by such sire for the relevant breeding season; and
 - (b) the intended location of such sire for the relevant breeding season.

HRA may publish information provided under sub rule (10)(a).

11 Retirement or Death of a Horse

- (1) HRA may on application made by the owner of a horse withdraw the registration certificate of the horse.
- (2) Notification of retirement or death shall be given to HRA on [Form R25-A](#) or in such manner or form as may be determined by HRA.
- (3)
 - (a) Where a registered horse has been retired from racing or a decision has been made to not race the horse, the owner of the horse at the time of its retirement from racing must, within seven days of the horse's retirement, notify the Registrar by lodging the relevant form prescribed by the Registrar.
 - (b) Where a registered horse has been retired from racing or a decision has been made to not race the horse but retain it for the purposes of breeding, the owner of the horse at the time of its retirement from breeding must, within seven days of the horse's retirement notify the Registrar by lodging the relevant form prescribed by the Registrar.
- (4) The owner or trainer of a registered horse at the time of its death shall:-
 - (a) In the case of a horse which dies whilst in the care of a licensed trainer immediately notify the Stewards of the death.
 - (b) In any other case notify HRA and the Controlling Body or Stewards of the death within twenty four hours of its occurrence.
- (5) A notification of death under sub rule (4) shall be in writing or such other form as HRA, the Controlling Body or Stewards may determine.

- (6) The person in possession of the dead horse's registration certificate shall within 7 days of such notification return the certificate to HRA.
- (7) The owner or trainer shall comply with any direction given by HRA, the Stewards or the Controlling Body to verify the death.
- (8) The owner or trainer of a horse whose death has been notified, or which should have been notified, under sub rule (4)(a) shall not dispose of the carcass without the permission of the Stewards or the Controlling Body.
- (9) Where notification has been given in accordance with sub-rule (3) the horse will be ineligible to race unless it is re-registered.
- (10) Sub rules (3), (4), (5), (6), (7), (8) and (9) are Offence Provisions.

12 Re-registration of a Retired Horse

- (1) A horse which has its registration certificate withdrawn shall not be issued with another registration certificate without the written consent of the owner who applied for the withdrawal of the original registration certificate.
- (2) (a) A horse which has its registration certificate withdrawn shall not be issued with another registration certificate for the purposes of training, racing or breeding unless:
 - (i) the horse has passed a veterinary examination conducted by a qualified veterinary surgeon to the satisfaction of HRA, the Controlling Body or Stewards;
 - (ii) all relevant transfers of ownership in respect of the horse have been registered with the Controlling Body (as applicable) in accordance with the Rules;
 - (iii) the horse, the trainer and/or the manager have satisfied any other reinstatement requirements of the Controlling Body.
- (b) The Stewards may in addition to the requirements of sub rule 2(a) consider the reasons given by the last owner of the horse for its retirement.
- (3) Where notification has been given in accordance with sub rule (2) the horse will cease to be registered and is ineligible to race unless it is re-registered.
- (4) Sub-rules (1), (2) and (3) are Offence Provisions.

13 Sire summary sheet and related matters

- (1) The connections of a sire shall keep a written record of all mares served by the sire.
- (2) The record shall contain the names of mares served, the dates of first and last service, the method of service and the total service cost.
- (3) The connections of the sire shall lodge the record with HRA before such date as determined by HRA accompanied by such fees as HRA determines.
- (4) If a mare is served after lodgment of the record the connections shall, within 28 days of the last date of service, apply to HRA to add to the record the particulars required under sub rule (2).
- (5) The connections of a sire shall issue to the owner of a served mare a document containing details of the mare and service performed.
- (6)
 - (a) Subject to paragraph (b), in a breeding season the total number of mares bred in accordance with these Rules in any manner whatsoever including Artificial Breeding to a sire registered in Australia including free returns from a previous breeding season shall not exceed 150.
 - (b) In the breeding season commencing 1 September 2020 and ending 31 August 2021 the total number of mares bred to a stallion registered in Australia may exceed 150 to a maximum of 170 provided that:
 - (i) each additional foal is the progeny of a free return to that sire; and,
 - (ii) the free return must be to the same mare and that sire; and,
 - (iii) the studmaster has made written application to HRA to use the free return and the application has been approved.
- (7) A horse that is foaled in contravention of sub-rule (6) shall not be eligible for registration.
- (8) The connections of a sire shall notify HRA of:
 - (a) Entry and exit of the sire into and out of Australia; and
 - (b) Movements of the sire within Australia between Studswithin 7 days after such movement occurs.
- (9) The connections of a sire shall notify HRA of the countries in which the sire was located and the dates when the sire was within those countries during a breeding season within 14 days after the end of such breeding season.

- (10) The connections of a sire shall not lodge or cause to be lodged with the Controlling Body any record containing information relating to services performed by the sire in respect of serviced mares which is incorrect or incomplete.
- (11) HRA may from time to time change the information required to be recorded under this rule, the way in which it is recorded and the manner, form and time in which information is to be supplied, materials or documents lodged or issued, or application made to HRA or other person.
- (12) Sub rules (1), (2), (3), (4), (5), (6), (8), (9) and (10) are Offence Provisions.

14 Sire forms

- (1) The written record of service referred to in sub rule 13 (1) shall be kept on Form R275-A.
- (2) Form R275-A shall be lodged with HRA no later than 31 March each year.
- (3) The document issued under sub rule 13 (5) shall be Form R277-A.
- (4) Notifications under sub rule 13 (8) shall be made on Form SBR-13.8.
- (5) Notifications under sub rule 13 (9) shall be made on Form SBR-13.8.

15 Identity verification

- (1) The connections of a mare that is to be served by a sire shall, before service, supply to the studmaster of the sire the mare's registration certificate.
- (2) Subject to sub rule (3), the connections of a sire shall, in respect of each service by the sire, ensure by reference to the registration certificate of the mare being served, that it is the mare whose name will appear in the record kept under sub rule 13 (5).
- (3) The connections of a sire, not being in possession of a mare's registration certificate, shall not permit the mare to be serviced by the sire unless the mare's identity is verified by HRA or by reference to a publication of a Controlling Body or HRA containing the mare's identification details.
- (4) Sub rules (1), (2) and (3) are Offence Provisions.

16 Notification of foaling

- (1) The connections of a Standardbred mare shall within such time after foaling as HRA determines notify HRA of the foaling and supply particulars of any prominent markings on the foal and its location. Notification shall be accompanied by such fees as HRA may determine.

- (2) An entry as to foaling shall be made on the document provided under sub rule 13 (5).
- (3) If a mare fails to produce a live foal from a service conducted under these rules the connections shall so notify HRA within 12 months of the last date of service.
- (4) Sub rules (1) and (3) are Offence Provisions.
- (5) HRA may from time to time change the details, time and form of notification required under this rule.
- (6) Unless HRA otherwise determines, a horse is ineligible for registration if notification of its foaling is made after it attains the age of 2 years.

17 Foaling forms

- (1) Notification of foaling shall be made on Form R277-A.
- (2) Notification shall be given within 21 days of foaling.

18 Fees

- (1) Fees payable for registrations and other services are set out in Schedule 1 exclusive of GST.
- (2) Fees payable for registration as a sire are payable in two components:
 - (a) An amount payable upon application for registration as a sire for a breeding season; and
 - (b) After live birth of a foal resulting from service of a mare in Australia by such sire however occurring, an amount as invoiced by HRA in May after the breeding season.
- (3) Reference in Schedule 1 to:
 - (a) the domicile of a sire shall mean the domicile of that sire for the relevant breeding season determined in accordance with rule 1 (d);
 - (b) 'Other' in relation to the domicile of a sire means the sire was not domiciled in a country listed in Schedule 1 for the relevant breeding season;
 - (c) 'TSC' shall mean the greater of:
 - (i) the intended total service cost declared in the application to register a sire pursuant to sub rule 10 (10); or

- (ii) the actual total service cost of the service or procedure which resulted in the live birth of a foal as determined in accordance with rule 1 (e);
 - (d) a ‘Shuttle service’ means a shuttle service as defined in rule 1 (b) which resulted in the live birth of the relevant foal;
 - (e) ‘Other service’ means any service, procedure or technique including Artificial Breeding resulting in the birth of the relevant foal other than a shuttle service;
 - (f) ‘Trotting sire’ means a registered sire with a trotting gait pursuant to Regulation 18(6).
- (4) Where a registered sire dies during a breeding season for which it is registered then the domicile of such sire shall be as for the last complete breeding season it was registered or as otherwise determined by HRA.
- (5) Where insufficient information is provided to HRA to enable the domicile of a sire to be determined for a breeding season then it will be classed as ‘Other’ for the purpose of calculating registration fees for that season.
- (6) The gait of a registered sire for fee purposes shall be the gait of its best racing or time trial performance included in the Stud Book or as subsequently changed with the approval of a relevant Controlling Body. Where insufficient information is provided to HRA to enable the gait of a sire to be determined for a breeding season then it will be classed as Pacer for the purpose of calculating registration fees for that season.
- (7) Where a fee payable to HRA relates to a taxable supply and Goods and Services Tax (GST) is payable by HRA on such fee then the recipient of the taxable supply must pay HRA an additional amount equal to the amount of GST payable by HRA for the taxable supply. Payment of the additional amount will be made at the same time as payment for the taxable supply is required to be made under these rules. HRA shall include such additional amount for GST on any tax invoice provided by HRA for such fee.

19 General

- (1) Any power or function of HRA under these rules, including amendment of these rules, may be exercised by the executive committee of HRA.
- (2) Any power or discretion of HRA under these rules may be exercised in its discretion as it sees fit without providing reasons.
- (3) HRA may waive or vary fees payable under these rules in exceptional circumstances should it consider it appropriate to do so in the interests of the Standardbred breeding or harness racing industries.
- (4) HRA may suspend or cancel a registration under these rules if the connections of a horse or persons associated with a Stud, as the case may be, engage in conduct that is detrimental to the Standardbred breeding or harness racing industry.

- (5) If any fees or amounts otherwise payable to HRA under these rules are not paid when due then:
- (a) HRA may place the person or body by whom such fees or amounts are owed on an unpaid monies list and provide such list to a Controlling Body for the purposes of AHRR 295;
 - (b) HRA shall not place a person or body on the unpaid monies list before first notifying such person or body of its intention to do so. Such notification may be given by email to an email address set out in any relevant application for registration or document or as otherwise provided by such person or body to HRA.

SCHEDULE 1**HRA Registration and Service Fees**

All Fees applicable from 1 July 2022

Service	HRA Fee Excl. GST
Registration of Sires Upon application Upon live birth of a foal <ul style="list-style-type: none"> • Sire domiciled in Australia or Trotting sire: No further fee. • Sire domiciled in New Zealand: 8% TSC • Other: <ul style="list-style-type: none"> Shuttle service: 8% TSC Other service: 15% TSC 	\$500.00
Clearance Registration <i>(completed within 7 days of horse's physical arrival date in Australia)</i> <ul style="list-style-type: none"> • Horse/Gelding • Filly/Mare (4YO & Under) • Mare (5YO+) • Australian Bred (Named NZ & returning to Aust) • Short Stay (less than 90 days) <p>Clearance Registration Form</p> <p><i>*late Fees apply per Clearance Registration Form</i></p>	\$2,356 \$1,767 \$295 \$589 \$589